

# Standard Bank Deposit Sweep Program

## Bank List for Natural Persons (Effective January 2024)

Below are the program banks in the Standard Bank Deposit Sweep Program - Natural Persons.

*NOTE: A client's state of residence determines their Region. Within each Region is the priority bank order for investment of a client's funds. The table below shows the state mapping for the eight (8) Regions and the respective bank priority order.*

REGION/ POSITION	REGION 1 MA, ME, NH, RI, VT	REGION 2 CT, N Y	REGION 3 AK, CO, HI, ID, KS, MT, NE, NM, NV, OR, UT, WA, WY	REGION 4 CA
1	Wells Fargo Bank, N.A.	UBS Bank USA	American Express National Bank	American Express National Bank
2	PNC Bank, National Association	U.S. Bank National Association	UBS Bank USA	UBS Bank USA
3	UBS Bank USA	Goldman Sachs Bank USA	U.S. Bank National Association	Goldman Sachs Bank USA
4	Manufacturers and Traders Trust Company	American Express National Bank	Goldman Sachs Bank USA	JPMorgan Chase Bank, N.A.
5	U.S. Bank National Association	JPMorgan Chase Bank, N.A.	JPMorgan Chase Bank, N.A.	U.S. Bank National Association
6	The Huntington National Bank	Synchrony Bank	Synchrony Bank	Synchrony Bank
7	Capital One, National Association	Pinnacle Bank	Synovus Bank	State Bank of India
8	JPMorgan Chase Bank, N.A.	The Huntington National Bank	Deutsche Bank Trust Company Americas	Pinnacle Bank
9	BMO Bank National Association	Deutsche Bank Trust Company Americas	Capital One, National Association	The Huntington National Bank
10	American Express National Bank	Synovus Bank	The Huntington National Bank	Bank of Baroda
11	Deutsche Bank Trust Company Americas	Citibank, National Association	Pinnacle Bank	BMO Bank National Association
12	Synchrony Bank	BMO Bank National Association	State Bank of India	PNC Bank, National Association
13	Bank of Baroda	Bank of Baroda	Citibank, National Association	Manufacturers and Traders Trust Company
14	Pinnacle Bank	East West Bank	BMO Bank National Association	Deutsche Bank Trust Company Americas
15	State Bank of India	First Horizon Bank	Bank of Baroda	Synovus Bank
16	First Horizon Bank	PNC Bank, National Association	East West Bank	Citibank, National Association
17	Synovus Bank	State Bank of India	First Horizon Bank	First Horizon Bank
18	The Bank of East Asia Ltd.	State Street Bank and Trust Company	Cadence Bank	Capital One, National Association
19	East West Bank	Cadence Bank	Manufacturers and Traders Trust Company	Wells Fargo Bank, N.A.
20	Cadence Bank	Wells Fargo Bank, N.A.	Umpqua Bank	State Street Bank and Trust Co.
21	Umpqua Bank	Capital One, National Association	The Bank of East Asia Ltd.	East West Bank
22	State Street Bank and Trust Company	Manufacturers and Traders Trust Company	State Street Bank and Trust Company	Cadence Bank
23	WEX Bank	The Bank of East Asia Ltd.	WEX Bank	Umpqua Bank
24	Goldman Sachs Bank USA	Umpqua Bank	Wells Fargo Bank, N.A.	WEX Bank
25	Citibank, National Association	WEX Bank	PNC Bank, National Association	The Bank of East Asia Ltd.
26	SouthEast Bank	SouthEast Bank	SouthEast Bank	SouthEast Bank
EXCESS BANKS				
1	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.
2	U.S. Bank National Assoc.	U.S. Bank National Assoc.	U.S. Bank National Assoc.	U.S. Bank National Assoc.

REGION/ POSITION	REGION 5 AL, AR, AZ, KY, LA, MO, OK, TX, TN	REGION 6 DC, DE, FL, GA, MD, MS, NC, SC	REGION 7 IA, IL, IN, MI, MN, ND, OH, SD, WI	REGION 8 NJ, PA, VA, WV, OTHERS
1	Synchrony Bank	Manufacturers and Traders Trust Company	American Express National Bank	Goldman Sachs Bank USA
2	Goldman Sachs Bank USA	Goldman Sachs Bank USA	UBS Bank USA	UBS Bank USA
3	UBS Bank USA	UBS Bank USA	Goldman Sachs Bank USA	JPMorgan Chase Bank, N.A.
4	JPMorgan Chase Bank, N.A.	JPMorgan Chase Bank, N.A.	U.S. Bank National Association	American Express National Bank
5	U.S. Bank National Association	U.S. Bank National Association	JPMorgan Chase Bank, N.A.	U.S. Bank National Association
6	The Huntington National Bank	American Express National Bank	The Huntington National Bank	The Huntington National Bank
7	American Express National Bank	Synchrony Bank	Deutsche Bank Trust Company Americas	Synchrony Bank
8	Bank of Baroda	BMO Bank National Association	Bank of Baroda	Synovus Bank
9	Synovus Bank	The Huntington National Bank	Synchrony Bank	Bank of Baroda
10	Deutsche Bank Trust Company Americas	Wells Fargo Bank, N.A.	Pinnacle Bank	BMO Bank National Association
11	Citibank, National Association	Synovus Bank	Citibank, National Association	Wells Fargo Bank, N.A.
12	State Bank of India	Bank of Baroda	Synovus Bank	PNC Bank, National Association
13	BMO Bank National Association	Deutsche Bank Trust Company Americas	Cadence Bank	Pinnacle Bank
14	Cadence Bank	East West Bank	BMO Bank National Association	State Bank of India
15	Wells Fargo Bank, N.A.	State Street Bank and Trust Company	State Street Bank and Trust Company	Manufacturers and Traders Trust Company
16	East West Bank	Pinnacle Bank	Capital One, National Association	First Horizon Bank
17	Pinnacle Bank	Cadence Bank	Manufacturers and Traders Trust Company	East West Bank
18	PNC Bank, National Association	First Horizon Bank	State Bank of India	Cadence Bank
19	Manufacturers and Traders Trust Company	State Bank of India	Wells Fargo Bank, N.A.	Deutsche Bank Trust Company Americas
20	The Bank of East Asia Ltd.	PNC Bank, National Association	First Horizon Bank	Umpqua Bank
21	First Horizon Bank	Capital One, National Association	The Bank of East Asia Ltd.	Capital One, National Association
22	Capital One, National Association	The Bank of East Asia Ltd.	East West Bank	The Bank of East Asia Ltd.
23	Umpqua Bank	Umpqua Bank	Umpqua Bank	State Street Bank and Trust Co.
24	WEX Bank	WEX Bank	WEX Bank	WEX Bank
25	State Street Bank and Trust Company	Citibank, National Association	PNC Bank, National Association	Citibank, National Association
26	SouthEast Bank	SouthEast Bank	SouthEast Bank	SouthEast Bank
<b>EXCESS BANKS</b>				
1	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.
2	U.S. Bank National Assoc.	U.S. Bank National Assoc.	U.S. Bank National Assoc.	U.S. Bank National Assoc.

Securities offered through Avantax Investment Services<sup>SM</sup>, Member FINRA, SIPC. Investment advisory services offered through Avantax Advisory Services<sup>SM</sup>. Insurance services offered through licensed agents of Avantax Insurance Agency<sup>SM</sup> and Avantax Insurance Services<sup>SM</sup>. Not all products and services are offered by all affiliates. Products and services listed may only be offered by properly licensed individuals. 3200 Olympus Blvd, Suite 100, Dallas, TX 75019. 972-870-6000.

The order and composition of the Program Bank List may change at any time. Please consult your Avantax Financial Professional with any questions. For complete details on the program please refer to the Standard Bank Deposit Sweep Program- Natural & Non-Natural Persons Disclosure Document.

You may contact your Avantax Financial Professional to designate a bank as ineligible to receive funds (otherwise known as "opting out" of a bank). Opting out of a Program Bank will affect the amount of your deposits eligible for FDIC insurance.

Excess Banks will accept funds without limitation and without regard to the Maximum Applicable FDIC Deposit Insurance Amount once funds equal to the Maximum Deposit Amount (as defined in the Bank Deposit Sweep Program Disclosure Document) have been deposited for you through the Bank Deposit Sweep Program in each Bank on the Program Bank List.

It is your obligation to monitor the total amount of deposits that you have at each bank, including through bank accounts, certificates of deposit ("CDs"), and deposits held through other brokers for purposes of reviewing deposits which may be eligible for insurance by the FDIC. 011924