

Standard Bank Deposit Sweep Program

Bank List for Natural Persons (Effective December 2023)

Below are the program banks in the Standard Bank Deposit Sweep Program - Natural Persons.

NOTE: A client's state of residence determines their Region. Within each Region is the priority bank order for investment of a client's funds. The table below shows the state mapping for the eight (8) Regions and the respective bank priority order.

REGION/ POSITION	REGION 1 MA, ME, NH, RI, VT	REGION 2 CT, N Y	REGION 3 AK, CO, HI, ID, KS, MT, NE, NM, NV, OR, UT, WA, WY	REGION 4 CA
1	Wells Fargo Bank, National Association	UBS Bank USA	American Express National Bank	American Express National Bank
2	U.S. Bank National Association	U.S. Bank National Association	UBS Bank USA	Goldman Sachs Bank USA
3	UBS Bank USA	Goldman Sachs Bank USA	Goldman Sachs Bank USA	UBS Bank USA
4	JPMorgan Chase Bank, National Association	American Express National Bank	U.S. Bank National Association	JPMorgan Chase Bank, National Association
5	American Express National Bank	JPMorgan Chase Bank, National Association	JPMorgan Chase Bank, National Association	Synchrony Bank
6	The Huntington National Bank	Synchrony Bank	Synovus Bank	U.S. Bank National Association
7	BMO Bank National Association	Pinnacle Bank	Synchrony Bank	State Bank of India
8	Synchrony Bank	The Huntington National Bank	Capital One, National Association	Pinnacle Bank
9	Bank of Baroda	Deutsche Bank Trust Company Americas	The Huntington National Bank	Bank of Baroda
10	PNC Bank, National Association	BMO Bank National Association	Pinnacle Bank	The Huntington National Bank
11	Pinnacle Bank	Synovus Bank	BMO Bank National Association	BMO Bank National Association
12	State Bank of India	Bank of Baroda	Bank of Baroda	PNC Bank, National Association
13	Deutsche Bank Trust Company Americas	PNC Bank, National Association	State Bank of India	Wells Fargo Bank, National Association
14	First Horizon Bank	East West Bank	East West Bank	State Street Bank and Trust Co.
15	Synovus Bank	First Horizon Bank	First Horizon Bank	Synovus Bank
16	The Bank of East Asia Ltd.	Wells Fargo Bank, National Association	Deutsche Bank Trust Company Americas	First Horizon Bank
17	Capital One, National Association	State Bank of India	Cadence Bank	Capital One, National Association
18	Manufacturers and Traders Trust Company	State Street Bank and Trust Company	Manufacturers and Traders Trust Company	Deutsche Bank Trust Company Americas
19	East West Bank	Cadence Bank	Umpqua Bank	East West Bank
20	Cadence Bank	Capital One, National Association	The Bank of East Asia Ltd.	Cadence Bank
21	Umpqua Bank	Manufacturers and Traders Trust Company	State Street Bank and Trust Company	Manufacturers and Traders Trust Company
22	State Street Bank and Trust Co.	The Bank of East Asia Ltd.	WEX Bank	Umpqua Bank
23	WEX Bank	Umpqua Bank	Wells Fargo Bank, National Association	WEX Bank
24	Goldman Sachs Bank USA	WEX Bank	PNC Bank, National Association	The Bank of East Asia Ltd.
25	Citibank, National Association	Citibank, National Association	Citibank, National Association	Citibank, National Association
26	SouthEast Bank	SouthEast Bank	SouthEast Bank	SouthEast Bank
EXCESS BANKS				
1	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.
2	U.S. Bank National Assoc.	U.S. Bank National Assoc.	U.S. Bank National Assoc.	U.S. Bank National Assoc.

REGION/ POSITION	REGION 5 AL, AR, AZ, KY, LA, MO, OK, TX, TN	REGION 6 DC, DE, FL, GA, MD, MS, NC, SC	REGION 7 IA, IL, IN, MI, MN, ND, OH, SD, WI	REGION 8 NJ, PA, VA, WV, OTHERS
1	Synchrony Bank	Manufacturers and Traders Trust	American Express National Bank	Goldman Sachs Bank USA
2	Goldman Sachs Bank USA	Goldman Sachs Bank USA	Goldman Sachs Bank USA	UBS Bank USA
3	UBS Bank USA	UBS Bank USA	UBS Bank USA	JPMorgan Chase Bank, National Association
4	JPMorgan Chase Bank, National Association	JPMorgan Chase Bank, National Association	JPMorgan Chase Bank, National Association	American Express National Bank
5	U.S. Bank National Association	U.S. Bank National Association	The Huntington National Bank	U.S. Bank National Association
6	The Huntington National Bank	American Express National Bank	U.S. Bank National Association	The Huntington National Bank
7	American Express National Bank	Synchrony Bank	Bank of Baroda	Synchrony Bank
8	Synovus Bank	BMO Bank National Association	Synchrony Bank	Synovus Bank
9	Bank of Baroda	The Huntington National Bank	Pinnacle Bank	Bank of Baroda
10	Deutsche Bank Trust Company Americas	Wells Fargo Bank, National Association	Synovus Bank	BMO Bank National Association
11	Wells Fargo Bank, National Association	Synovus Bank	BMO Bank National Association	Wells Fargo Bank, National Association
12	State Bank of India	Bank of Baroda	State Street Bank and Trust	PNC Bank, National Association
13	BMO Bank National Association	Deutsche Bank Trust Company Americas	Deutsche Bank Trust Company Americas	Pinnacle Bank
14	Cadence Bank	East West Bank	Cadence Bank	State Bank of India
15	Manufacturers and Traders Trust Company	State Street Bank and Trust Co.	Capital One, National Association	Manufacturers and Traders Trust Company
16	Pinnacle Bank	Pinnacle Bank	Manufacturers and Traders Trust Company	First Horizon Bank
17	East West Bank	Cadence Bank	State Bank of India	East West Bank
18	PNC Bank, National Association	First Horizon Bank	Wells Fargo Bank, National Association	Cadence Bank
19	The Bank of East Asia Ltd.	State Bank of India	First Horizon Bank	Deutsche Bank Trust Company
20	First Horizon Bank	PNC Bank, National Association	The Bank of East Asia Ltd.	Umpqua Bank
21	Capital One, National Association	Capital One, National Association	East West Bank	Capital One, National Association
22	Umpqua Bank	The Bank of East Asia Ltd.	Umpqua Bank	The Bank of East Asia Ltd.
23	WEX Bank	Umpqua Bank	WEX Bank	State Street Bank and Trust
24	State Street Bank and Trust Co.	WEX Bank	PNC Bank, National Association	WEX Bank
25	Citibank, National Association	Citibank, National Association	Citibank, National Association	Citibank, National Association
26	SouthEast Bank	SouthEast Bank	SouthEast Bank	SouthEast Bank
EXCESS BANKS				
1	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.
2	U.S. Bank National Assoc.	U.S. Bank National Assoc.	U.S. Bank National Assoc.	U.S. Bank National Assoc.

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The order and composition of the Program Bank List may change at any time. Please consult your Avantax Financial Professional with any questions. For complete details on the program please refer to the Standard Bank Deposit Program- Natural & Non-Natural Persons Disclosure Document.

You may contact your Avantax Financial Professional to designate a bank as ineligible to receive funds (otherwise known as "opting out" of a bank). Opting out of a Program Bank will affect the amount of your deposits eligible for FDIC insurance.

Excess Banks will accept funds without limitation and without regard to the Maximum Applicable FDIC Deposit Insurance Amount once funds equal to the Maximum Deposit Amount (as defined in the Bank Deposit Sweep Program Disclosure Document) have been deposited for you through the Bank Deposit Sweep Program in each Bank on the Program Bank List.

It is your obligation to monitor the total amount of deposits that you have at each bank, including through bank accounts, certificates of deposit ("CDs"), and deposits held through other brokers for purposes of reviewing deposits which may be eligible for insurance by the FDIC. 113023