

# Standard Bank Deposit Sweep Program

## Bank List for Non-Natural Persons (Effective January 2024)

Below are the program banks in the Standard Bank Deposit Sweep Program - Non-Natural Persons.

*NOTE: A client’s state of residence determines their Region. Within each Region is the priority bank order for investment of a client’s funds. The table below shows the state mapping for the eight (8) Regions and the respective bank priority order.*

REGION/ POSITION	REGION 1 MA, ME, NH, RI, VT	REGION 2 CT, N Y	REGION 3 AK, CO, HI, ID, KS, MT, NE, NM, NV, OR, UT, WA, WY	REGION 4 CA
1	U.S. Bank National Association	U.S. Bank National Association	U.S. Bank National Association	U.S. Bank National Association
2	JPMorgan Chase Bank, N.A.	BMO Bank N.A.	Bank of Baroda	Deutsche Bank Trust Company Americas
3	First Horizon Bank	Bank of Baroda	JPMorgan Chase Bank, N.A.	Capital One N.A.
4	Pinnacle Bank	Capital One N.A.	Capital One N.A.	First Horizon Bank
5	Capital One N.A.	Deutsche Bank Trust Company Americas	PNC Bank, N.A.	JPMorgan Chase Bank, N.A.
6	The Bank of East Asia Ltd.	JPMorgan Chase Bank, N.A.	Pinnacle Bank	Bank of Baroda
7	Deutsche Bank Trust Company Americas	Pinnacle Bank	Deutsche Bank Trust Company Americas	The Bank of East Asia Ltd.
8	BMO Bank N.A.	First Horizon Bank	East West Bank	Umpqua Bank
9	East West Bank	Cadence Bank	First Horizon Bank	PNC Bank, N.A.
10	Cadence Bank	Umpqua Bank	The Bank of East Asia Ltd.	Pinnacle Bank
11	Umpqua Bank	Wells Fargo Bank, N.A.	BMO Bank N.A.	Cadence Bank
12	Bank of Baroda	PNC Bank, N.A.	Umpqua Bank	State Street Bank and Trust Co.
13	State Street Bank and Trust Co.	The Bank of East Asia Ltd.	Cadence Bank	Wells Fargo Bank, N.A.
14	State Bank of India	East West Bank	State Street Bank and Trust Co.	East West Bank
15	Wells Fargo Bank, N.A.	State Street Bank and Trust Co.	Wells Fargo Bank, N.A.	BMO Bank N.A.
16	PNC Bank, N.A.	State Bank of India	State Bank of India	State Bank of India
17	Citibank, National Association	SouthEast Bank	Citibank, National Association	SouthEast Bank
18	SouthEast Bank	Citibank, National Association	SouthEast Bank	Citibank, National Association
EXCESS BANKS				
1	PNC Bank, N.A.	PNC Bank, N.A.	PNC Bank, N.A.	PNC Bank, N.A.
2	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.

REGION/ POSITION	REGION 5 AL, AR, AZ, KY, LA, MO, OK, TX, TN	REGION 6 DC, DE, FL, GA, MD, MS, NC, SC	REGION 7 IA, IL, IN, MI, MN, ND, OH, SD, WI	REGION 8 NJ, PA, VA, WV, OTHERS
1	U.S. Bank National Association	U.S. Bank National Association	BMO Bank N.A.	JPMorgan Chase Bank, N.A.
2	Bank of Baroda	JPMorgan Chase Bank, N.A.	U.S. Bank National Association	U.S. Bank National Association
3	Capital One N.A.	Pinnacle Bank	JPMorgan Chase Bank, N.A.	East West Bank
4	Wells Fargo Bank, N.A.	Bank of Baroda	First Horizon Bank	Capital One N.A.
5	Pinnacle Bank	First Horizon Bank	Bank of Baroda	Bank of Baroda
6	First Horizon Bank	Deutsche Bank Trust Company Americas	Capital One N.A.	First Horizon Bank
7	Deutsche Bank Trust Company Americas	Capital One N.A.	Deutsche Bank Trust Company Americas	Pinnacle Bank
8	The Bank of East Asia Ltd.	BMO Bank N.A.	The Bank of East Asia Ltd.	Umpqua Bank
9	BMO Bank N.A.	Cadence Bank	Cadence Bank	Deutsche Bank Trust Company Americas
10	Cadence Bank	PNC Bank, N.A.	East West Bank	BMO Bank N.A.
11	PNC Bank, N.A.	Umpqua Bank	State Street Bank and Trust	Wells Fargo Bank, N.A.
12	JPMorgan Chase Bank, N.A.	East West Bank	Pinnacle Bank	PNC Bank, N.A.
13	Umpqua Bank	The Bank of East Asia Ltd.	Umpqua Bank	Cadence Bank
14	State Street Bank and Trust Co.	State Street Bank and Trust Co.	State Bank of India	The Bank of East Asia Ltd.
15	East West Bank	State Bank of India	Wells Fargo Bank, N.A.	State Street Bank and Trust Co.
16	State Bank of India	Wells Fargo Bank, N.A.	PNC Bank, N.A.	State Bank of India
17	SouthEast Bank	Citibank, National Association	Citibank, National Association	SouthEast Bank
18	Citibank, National Association	SouthEast Bank	SouthEast Bank	Citibank, National Association
EXCESS BANKS				
1	PNC Bank, N.A.	PNC Bank, N.A.	PNC Bank, N.A.	PNC Bank, N.A.
2	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.

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The order and composition of the Program Bank List may change at any time. Please consult your Avantax Financial Professional with any questions. For complete details on the program please refer to the Standard Bank Deposit Sweep Program- Natural & Non-Natural Persons Disclosure Document.

You may contact your Avantax Financial Professional to designate a bank as ineligible to receive funds (otherwise known as "opting out" of a bank). Opting out of a Program Bank will affect the amount of your deposits eligible for FDIC insurance.

Excess Banks will accept funds without limitation and without regard to the Maximum Applicable FDIC Deposit Insurance Amount once funds equal to the Maximum Deposit Amount (as defined in the Bank Deposit Sweep Program Disclosure Document) have been deposited for you through the Bank Deposit Sweep Program in each Bank on the Program Bank List.

It is your obligation to monitor the total amount of deposits that you have at each bank, including through bank accounts, certificates of deposit ("CDs"), and deposits held through other brokers for purposes of reviewing deposits which may be eligible for insurance by the FDIC. 011924