

Standard Bank Deposit Sweep Program Avantax®

Bank List for Non-Natural Persons (Effective February 2023)

Below are the program banks in the Standard Bank Deposit Sweep Program - Non-Natural Persons.

NOTE: A client's state of residence determines their Region. Within each Region is the priority bank order for investment of a client's funds. The table below shows the state mapping for the eight (8) Regions and the respective bank priority order.

REGION/ POSITION	REGION 1 MA, ME, NH, RI, VT	REGION 2 CT, N Y	REGION 3 AK, CO, HI, ID, KS, MT, NE, NM, NV, OR, UT, WA, WY	REGION 4 CA
1	The Huntington National Bank	UBS Bank USA	UBS Bank USA	UBS Bank USA
2	UBS Bank USA	The Huntington National Bank	The Huntington National Bank	The Huntington National Bank
3	U.S. Bank National Association	U.S. Bank National Association	U.S. Bank National Association	U.S. Bank National Association
4	Pinnacle Bank	Bank of Baroda	Bank of Baroda	Deutsche Bank Trust Company
5	MUFG Union Bank, N.A.	Capital One, National Assoc.	Capital One, National Assoc.	State Bank of India
6	JPMorgan Chase Bank NA	Deutsche Bank Trust Company	Pinnacle Bank	Capital One, National Assoc.
7	First Horizon Bank	State Bank of India	Farmers and Merchants Bank	First Horizon Bank
8	BMO Harris Bank N.A.	Pinnacle Bank	East West Bank	East West Bank
9	State Bank of India	East West Bank	State Bank of India	BMO Harris Bank N.A.
10	Capital One, National Assoc.	BMO Harris Bank N.A.	Deutsche Bank Trust Company	Bank of Baroda
11	East West Bank	MUFG Union Bank, N.A.	First Horizon Bank	The Bank of East Asia, Ltd
12	The Bank of East Asia, Ltd	First Horizon Bank	BMO Harris Bank N.A.	Pinnacle Bank
13	Deutsche Bank Trust Company	Cadence Bank, N.A.	The Bank of East Asia, Ltd	Umpqua Bank
14	Farmers and Merchants Bank	Umpqua Bank	Flagstar Bank, N.A.	Cadence Bank, N.A.
15	Flagstar Bank, N.A.	Flagstar Bank, N.A.	Umpqua Bank	State Street Bank and Trust Co
16	Cadence Bank, N.A.	The Bank of East Asia, Ltd	MUFG Union Bank, N.A.	Flagstar Bank, N.A.
17	Umpqua Bank	State Street Bank and Trust Co	Cadence Bank, N.A.	WEX Bank
18	Bank of Baroda	WEX Bank	State Street Bank and Trust Co	MUFG Union Bank, N.A.
19	State Street Bank and Trust Co	Farmers and Merchants Bank	WEX Bank	Farmers and Merchants Bank
20	WEX Bank	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.
21	Wells Fargo Bank, N.A.	JPMorgan Chase Bank NA	JPMorgan Chase Bank NA	JPMorgan Chase Bank NA
22	PNC Bank, N.A.	PNC Bank, N.A.	PNC Bank, N.A.	PNC Bank, N.A.
23	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.
24	SouthEast Bank	SouthEast Bank	SouthEast Bank	SouthEast Bank
EXCESS BANKS				
1	PNC Bank, N.A.	PNC Bank, N.A.	PNC Bank, N.A.	PNC Bank, N.A.
2	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.

REGION/ POSITION	REGION 5 AL, AR, AZ, KY, LA, MO, OK, TX, TN	REGION 6 DC, DE, FL, GA, MD, MS, NC, SC	REGION 7 IA, IL, IN, MI, MN, ND, OH, SD, WI	REGION 8 NJ, PA, VA, WV, OTHERS
1	UBS Bank USA	UBS Bank USA	Flagstar Bank, N.A.	East West Bank
2	Bank of Baroda	JPMorgan Chase Bank NA	UBS Bank USA	UBS Bank USA
3	U.S. Bank National Association	Pinnacle Bank	U.S. Bank National Association	U.S. Bank National Association
4	The Huntington National Bank	Bank of Baroda	JPMorgan Chase Bank NA	Capital One, National Assoc.
5	Capital One, National Assoc.	U.S. Bank National Association	First Horizon Bank	JPMorgan Chase Bank NA
6	State Bank of India	The Huntington National Bank	Bank of Baroda	Bank of Baroda
7	Pinnacle Bank	East West Bank	The Huntington National Bank	First Horizon Bank
8	Farmers and Merchants Bank	First Horizon Bank	Capital One, National Assoc.	Pinnacle Bank
9	Flagstar Bank, N.A.	Capital One, National Assoc.	Deutsche Bank Trust Company	The Huntington National Bank
10	First Horizon Bank	Flagstar Bank, N.A.	East West Bank	Umpqua Bank
11	East West Bank	Farmers and Merchants Bank	BMO Harris Bank N.A.	BMO Harris Bank N.A.
12	BMO Harris Bank N.A.	State Bank of India	State Bank of India	MUFG Union Bank, N.A.
13	The Bank of East Asia, Ltd	Cadence Bank, N.A.	MUFG Union Bank, N.A.	Deutsche Bank Trust Company
14	Cadence Bank, N.A.	MUFG Union Bank, N.A.	The Bank of East Asia, Ltd	State Bank of India
15	MUFG Union Bank, N.A.	Deutsche Bank Trust Company	Farmers and Merchants Bank	WEX Bank
16	Umpqua Bank	Umpqua Bank	WEX Bank	Flagstar Bank, N.A.
17	Deutsche Bank Trust Company	BMO Harris Bank N.A.	Pinnacle Bank	Farmers and Merchants Bank
18	WEX Bank	WEX Bank	Cadence Bank, N.A.	Cadence Bank, N.A.
19	State Street Bank and Trust Co	The Bank of East Asia, Ltd	State Street Bank and Trust Co	The Bank of East Asia, Ltd
20	Wells Fargo Bank, N.A.	State Street Bank and Trust Co	Umpqua Bank	State Street Bank and Trust Co
21	JPMorgan Chase Bank NA	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.
22	PNC Bank, N.A.	PNC Bank, N.A.	PNC Bank, N.A.	PNC Bank, N.A.
23	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.
24	SouthEast Bank	SouthEast Bank	SouthEast Bank	SouthEast Bank
EXCESS BANKS				
1	PNC Bank, N.A.	PNC Bank, N.A.	PNC Bank, N.A.	PNC Bank, N.A.
2	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.

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The order and composition of the Program Bank List may change at any time. Please consult your Avantax Financial Professional with any questions. For complete details on the program please refer to the Standard Bank Deposit Program- Natural & Non-Natural Persons Disclosure Document.

You may contact your Avantax Financial Professional to designate a bank as ineligible to receive funds (otherwise known as "opting out" of a bank). Opting out of a Program Bank will affect the amount of your deposits eligible for FDIC insurance.

Excess Banks will accept funds without limitation and without regard to the Maximum Applicable FDIC Deposit Insurance Amount once funds equal to the Maximum Deposit Amount (as defined in the Bank Deposit Sweep Program Disclosure Document) have been deposited for you through the Bank Deposit Sweep Program in each Bank on the Program Bank List.

It is your obligation to monitor the total amount of deposits that you have at each bank, including through bank accounts, certificates of deposit ("CDs"), and deposits held through other brokers for purposes of reviewing deposits which may be eligible for insurance by the FDIC. 021423